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First Named Inventor: **Anke T. deJong**

Efrain Penilla

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Claiming Priority of a Provisional Application

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Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Anke T. deJong, Larry L. Cornett, David A. Holzer, John G. DeBriere, Peter J. Nicklin, R. Michael Reese,  
Valerie E. Fife, Johnny C. Nichols, Chooi P. Low and Kit Fitzpatrick

For: **STORAGE AREA NETWORK ADMINISTRATION**

This application claims priority under 35 U.S.C. § 119(e) from U.S. Provisional Application No. 60/079,212, filed on March 24, 1998. The disclosure of this application is incorporated by reference in this application.

Application Elements:

- ☒ 33 Pages of Specification, Claims and Abstract
- ☒ 16 Sheets of Drawings (Informal)
- ☐ \*\* Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of **\$40.00** enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s)
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

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BASIC FEE			\$380 \$	OR	\$760 \$
TOTAL CLAIMS	_____ -20 = _____		x09 = \$	OR	x18 = \$
INDEP CLAIMS	_____ -03 = _____		x39 = \$	OR	x78 = \$
[ ] Multiple Dependent Claim Presented			\$130 = \$	OR	\$260 = \$
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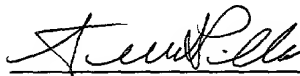
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805.

☒ Please send correspondence to the following address:

**Albert S. Penilla**  
MARTINE PENILLA & KIM, LLP  
830 West Evelyn Avenue  
Sunnyvale, CA 94086  
Tel (408) 749-6900  
Fax (408) 749-6901

Date: March 24, 1999

  
Albert S. Penilla, Esq.  
Registration No. 39,487

# PATENT APPLICATION

## STORAGE AREA NETWORK ADMINISTRATION

### By Inventors

1. Anke T. de Jong  
153 Oak Court  
Menlo Park, California 94025  
Dutch Citizen

2. Larry L. Cornett  
904 Gwen Drive  
Campbell, California 95008  
U.S. Citizen

3. David A. Holzer  
1311 Happy Valley Avenue  
Jose, California 95129  
U.S. Citizen

4. John G. DeBriere  
4203 Yerba Buena Avenue  
San Jose, California 95129  
U.S. Citizen

5. Peter J. Nicklin  
1540 Hilton Court  
San Jose, California 95130  
New Zeland Citizen

6. R. Michael Reese  
21559 E. Alamo Plaza  
Aurora, Colorado 80015  
U.S. Citizen

7. Valerie E. Fife  
5310 Topaz Drive  
Colorado Springs, Colorado 80918  
U.S. Citizen

8. Johnny C. Nichols  
14850 Quorum Drive, Suite 500  
Dallas, Texas 75240  
U.S. Citizen

9. Chooi P. Low  
14850 Quorum Drive, Suite 500  
Dallas, Texas 75240  
Malaysia Citizen

10. Kit Fitzpatrick  
3389 Kemper Road  
Fremont, California 94536  
U.S. Citizen

### Assignee

Adaptec, Inc.  
691 S. MILPITAS BOULEVARD  
MILPITAS, CA 95035

MARTINE PENILLA & KIM, LLP  
830 West Evelyn Avenue  
Sunnyvale, California 94086  
Telephone (408) 749-6900

# STORAGE AREA NETWORK ADMINISTRATION

*by Inventors*

Anke T. de Jong  
Larry L. Cornet  
David A. Holzer  
John G. DeBriere  
Peter J. Nicklin  
R. Michael Reese  
Valerie E. Fife  
Johnny C. Nichols  
Chooi P. Low  
Kit Fitzpatrick

## CROSS REFERENCE TO RELATED APPLICATIONS

This application claims priority from U.S. Provisional Patent Application No. 60/079,212, filed March 24, 1998, and entitled "Software System For Administration of Storage Resources of a Computer Network." This provisional application is herein incorporated by reference.

## BACKGROUND OF THE INVENTION

### **1. Field of the Invention**

This invention relates generally to storage area networks, and more particularly to systems for configuring and managing storage devices connected over a network.

### **2. Description of the Related Art**

As the need for reliable storage solutions increase across a company network, computer network and storage experts have been designing network solutions that incorporate one or more redundant array of inexpensive disks (RAIDs). RAID is a

storage technology wherein a collection of multiple disk drives is organized into a disk array managed by a common array controller. The array controller presents the array to the user as one or more virtual disks. Disk arrays are the framework to which RAID functionality is added in functional levels to produce cost-effective, highly available, high-  
5 performance disk systems.

Although RAID provides the reliability network administrators are looking for, setting up a disk array to work in accordance with a given RAID level is not always straight forward for a network or computer administrator having a typical level of RAID experience. For instance, RAID level 0 is a performance-oriented striped data mapping  
10 technique. Uniformly sized blocks of storage are assigned in a regular sequence to all of the disks in the array. RAID 0 provides high I/O performance at low cost. Reliability of a RAID 0 system is less than that of a single disk drive because failure of any one of the drives in the array can result in a loss of data.

RAID level 1, also called mirroring, provides simplicity and a high level of data  
15 availability. A mirrored array includes two or more disks wherein each disk contains an identical image of the data. A RAID level 1 array may use parallel access for high data transfer rates when reading. RAID 1 provides good data reliability and improves performance for read-intensive applications, but at a relatively high cost.

RAID level 2 is a parallel mapping and protection technique that employs error  
20 correction codes (ECC) as a correction scheme, but is considered unnecessary because off-the-shelf drives come with ECC data protection. For this reason, RAID 2 has no current practical use, and the same performance can be achieved by RAID 3 at a lower cost. As a result, RAID 2 is rarely used.

RAID level 3 adds redundant information in the form of parity data to a parallel accessed striped array, permitting regeneration and rebuilding of lost data in the event of a single-disk failure. One stripe unit of parity protects corresponding stripe units of data on the remaining disks. RAID 3 provides high data transfer rates and high data availability. Moreover, the cost of RAID 3 is lower than the cost of mirroring since there is less redundancy in the stored data.

RAID level 4 uses parity concentrated on a single disk to allow error correction in the event of a single drive failure (as in RAID 3). Unlike RAID 3, however, member disks in a RAID 4 array are independently accessible. Thus RAID 4 is more suited to transaction processing environments involving short file transfers. RAID 4 and RAID 3 both have a write bottleneck associated with the parity disk, because every write operation modifies the parity disk.

In RAID 5, parity data is distributed across some or all of the member disks in the array. Thus, the RAID 5 architecture achieves performance by striping data blocks among N disks, and achieves fault-tolerance by using 1/N of its storage for parity blocks, calculated by taking the exclusive-or (XOR) results of all data blocks in the parity disks row. The write bottleneck is reduced because parity write operations are distributed across multiple disks.

The RAID 6 architecture is similar to RAID 5, but RAID 6 can overcome the failure of any two disks by using an additional parity block for each row (for a storage loss of 2/N). The first parity block (P) is calculated with XOR of the data blocks. The second parity block (Q) employs Reed-Solomon codes.

As can be appreciated, even the most knowledgeable computer administrators are required to have a good working understanding of RAID and the ability to select the correct

hardware to make the storage solution work. To facilitate this, some companies provide preconfigured RAID arrays, which can be connected to a computer system, such as, a server computer or a cluster. Although these RAID solutions provide good data storage reliability, a computer technician/administrator is required to initially configure the RAID solution on a system and then continually monitor its health over its lifetime.

For instance, once the RAID solution is correctly configured, the computer technician/administrator should plan on making trips to the server so that the health of each of the individual disks in the RAID solution can be monitored. This is generally required because RAID solutions, by their very nature, will not completely fail when only one of the disks stop working. However, if more than one disk fails, the RAID solution may be unable to guard against data loss. Although this might not appear to be a big task, as the company enterprise networks continues to expand, there may be a need for multiple RAID solutions at each server computer (*i.e.*, server or cluster). As a result, there will be an ever growing need to configure RAID solutions, monitor the health of each RAID solution and repair and/or rebuild RAID solutions as the storage needs of the company grow and change.

In view of the foregoing, there is a need for an easy-to-use system for configuring, managing, and monitoring RAID storage systems over a network.

## SUMMARY OF THE INVENTION

Broadly speaking, the present invention fills these needs by providing a system and method for configuring, administering and managing storage resources that are shared in a network environment. It should be appreciated that the present invention can be implemented in numerous ways, including as a process, an apparatus, a system, a device, a method, or a computer readable medium. Several inventive embodiments of the present invention are described below.

In one embodiment, a storage area network management and configuration system is disclosed. The system includes an enterprise network that has a plurality of computer systems, and some of the plurality of computer systems include a server component, some include a client component, or both the client component and the server component. The system also includes a storage enclosure that is connected to a computer system having at least the server component. A graphical user interface is provided by the client component, and the graphical user interface provides a graphical representation and icon links to configuration tools for controlling the storage enclosure. In this embodiment, the enterprise network can include a plurality of storage enclosures that are connected to selected computer systems that are part of the enterprise network and that have the server component. Through the configuration tools, any one of the available drives in the storage enclosures can be configured into a particular RAID-based array, monitored for failures, and modified to meet different storage needs.

One example of the graphical representation and icon links include an array builder link. The array builder link, when selected, provides selection tabs to allow array building from an array template or from scratch. When the array is built from the



template, a template icon can simply be dragged onto array hardware that is selected to receive the configuration.

The graphical user interface provided by the client software will thus enable a user to easily modify any of the disk arrays connected to the enterprise network,  
5 monitor all of the storage enclosures and selected disks connected to the storage enclosures, build specific RAID array configurations, and be alerted by an event notifier of when a problem is detected with a particular storage enclosure or a particular disk that is nested within a particular storage enclosure.

In another embodiment, a storage area network system is disclosed. The system  
10 includes a server computer system that is connected to an enterprise network. Further included is a storage enclosure that is connected to the server computer system. A client computer system having a graphical user interface control is also provided for enabling a user to remotely configure drives of the storage enclosure. Preferably, the graphical user interface control includes one or more of an array modifier icon link, an enterprise  
15 monitor icon link, an array builder icon link, an event notifier icon link, an unconfigured hardware icon link, a templates icon link, and an enterprise icon link.

In yet a further embodiment, a standalone storage enclosure is used to house the RAID-based disk arrays. The storage enclosure of the present invention, preferably includes dual power supplies, dual fans, one or two hardware RAID controllers,  
20 environmental sensing and monitoring devices, and associated configuration hardware. Preferably, the drives that make up the RAID-based disk arrays are hot pluggable via SCA connectors. This provides the advantage of not necessitating additional interconnect cabling within the storage enclosure. To provide high bandwidth

communication links between the storage enclosures of an enterprise, high speed communication interconnect technology is implemented. In one embodiment, the interconnect technology implements Fibre Channel interconnects and Fibre Channel hubs.

- 5           Other aspects and advantages of the invention will become apparent from the following detailed description, taken in conjunction with the accompanying drawings, illustrating by way of example the principles of the invention.

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## **BRIEF DESCRIPTION OF THE DRAWINGS**

The present invention will be readily understood by the following detailed description in conjunction with the accompanying drawings, and like reference numerals  
5 designate like structural elements.

Figure 1A illustrates a computer block diagram of an enterprise network, in accordance with one embodiment of the present invention.

Figure 1B illustrates an exemplary embodiment of the storage enclosure in accordance with the invention.

10 Figure 1C provides a Desktop window graphical user interface (GUI) that a system administrator will see when administering storage enclosures over the enterprise network from a computer having a client component, in accordance with one embodiment of the present invention.

15 Figure 2 illustrates an Unconfigured Hardware window that gives a user immediate access to a filtered list of hardware that has not been fully configured.

Figure 3 provides a graphical representation of Templates, which are used to quickly and easily configure hardware, in accordance with one embodiment of the present invention.

20 Figure 4 illustrates a Template window, in accordance with one embodiment of the present invention.

Figure 5 illustrates an Enterprise Monitor window, in accordance with one embodiment of the present invention.

Figure 6 illustrates a Drive Failure window, in accordance with one embodiment of the present invention.

Figure 7 illustrates an Enterprise Monitor window, in accordance with one embodiment of the present invention.

Figure 8 illustrates a Monitoring Settings window, in accordance with one embodiment of the present invention.

5        Figure 9 illustrates another Monitoring Setting window, in accordance with one embodiment of the present invention.

Figure 10 illustrates an Array Modifier window, in accordance with one embodiment of the present invention.

10       Figure 11 illustrates another Array Modifier window, in accordance with one embodiment of the present invention.

Figures 12-15 illustrate Enterprise windows, in accordance with one embodiment of the present invention.

Figures 16-20 illustrate Properties windows, in accordance with one embodiment of the present invention.

15       Figure 21 illustrates a Notification window, in accordance with one embodiment of the present invention.

Figure 22 illustrates a Users window, in accordance with one embodiment of the present invention.

20       Figures 23 - 25 illustrate Notification Settings windows, in accordance with one embodiment of the present invention.

Figures 26 and 27 illustrate Array Builder windows, in accordance with one embodiment of the present invention.

Figures 28 and 29 illustrate Select Drives windows, in accordance with one embodiment of the present invention.

## **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

An invention is described for a system and method for configuring, administering and managing storage resources that are shared in a network environment. It will be obvious, however, to one skilled in the art, that the present invention may be practiced without some or all of these specific details. In other instances, well known process operations have not been described in detail in order not to unnecessarily obscure the present invention.

In one embodiment, an easy-to-administer hardware and software storage solution for use with computer server systems (or clusters) is provided. The software allows users to easily configure, manage, and monitor RAID-based disk arrays in an Enterprise. As used herein, an enterprise may include an example company which may have several server computer systems and RAID-based disk arrays resident at selected ones of the several computer systems.

In another embodiment, a standalone storage enclosure can be used to house the RAID-based disk arrays. The storage enclosure of the present invention, preferably includes dual power supplies, dual fans, one or two hardware RAID controllers, environmental sensing and monitoring devices, and associated configuration hardware. Preferably, the drives that make up the RAID-based disk arrays are hot pluggable via SCA connectors. This provides the advantage of not necessitating additional interconnect cabling within the storage enclosure.

The embodiments of the present invention also enable environmental monitoring of the storage enclosures that are connected over the network. For instance, the environmental monitoring may include monitoring the temperature,

monitoring fan operation, monitoring power supply operation, monitoring drive insertion and removal, and general enclosure health with regard to performing system I/O for customer applications.

The software functional implementation is preferably based on a client-server  
5 model, and includes a variety of features for allowing system administrators to remotely configure and monitor RAID arrays, controllers, and associated subsystem components. In a preferred embodiment, there can be multiple administration systems on an enterprise network backbone, and each administration system can see all other servers, and each server can have multiple storage enclosures. The client software,  
10 when loaded onto a computer of the enterprise, provides the user the power to administer storage enclosures connected to servers having the server component.

Figure 1A illustrates a computer block diagram 100 of an enterprise network, in accordance with one embodiment of the present invention. As shown, the enterprise network 102 may have a plurality of server computer systems 104a through 104d.  
15 Typically, each of the server computer systems 104, may serve to provide access to groups of users in the enterprise and to share data stored on those computer systems (or clusters). As is well known, a cluster is a collection of two or more independent servers that are able to access a common body of data storage and provide services to a common set of clients. In this embodiment, the server computer systems may have  
20 one or more storage enclosures 106a through 106g which serve to house a plurality of hard disk drives. The storage enclosures, as used herein, are preferably RAID-based disk arrays.

Also shown connected to the enterprise network 102 is a client computer 108. The method by which the hardware and software control the various RAID-based disk arrays in the enterprise will be described with reference to the following figures. Most preferably, the software used to administer the RAID-based disk arrays will be in the form of a server component and a client component. For instance, if the storage administrator desires to configure, monitor, or service one of the storage enclosures connected to one of the server computer systems on the enterprise network 102, the administrator can simply log on to any computer having the client component.

In this embodiment, the administrator can administer any of the storage enclosures in the enterprise network 102 via any computer having the client component software. In this example, server computers 104a, 104b, 104d, and 108 all have the client component. Accordingly, the client component will allow the administrator to log-on to the enterprise network through the easy-to-use graphical user interface of the present invention and administer any of the storage enclosures. In the enterprise network, the server computers having associated storage enclosures that are desired to be managed by the software administration system of the present invention, should have the server component of the software.

The server component of the software will essentially allow the server computer to intelligently communicate to the various storage enclosures connected thereto. By way of example, server computer 104b will have the server component which will enable it to share and make accessible the storage enclosures 106b, 106c, and 106d to the enterprise network. In a similar manner, server computers 104c, 104d, and 104a all include the server component of the software that will enable the

enterprise network to see the various storage enclosures connected to those server computers. Accordingly, the system administrator can now log-on to any computer having the client software and gain access to the enterprise network and monitor, configure, and service any of the storage enclosures including any of its individual  
5 hard drives from the client environment.

In a further embodiment, the graphical user interface provided by the client software will enable a user to easily modify any of the disk arrays connected to the enterprise network, monitor all of the storage enclosures and selected disks connected to the storage enclosures, build specific RAID array configurations, and be alerted by  
10 an event notifier of when a problem is detected with a particular storage enclosure 106 or a particular disk that is within a particular storage enclosure.

Figure 1B illustrates an exemplary embodiment of the storage enclosure 106 in accordance with the invention. As mentioned above, the storage enclosure includes first and second fans 110a and 110b, dual power supplies 114a and 114b, and  
15 preferably one or two hardware RAID controllers 120. As shown, the storage enclosure also includes AC power connectors 118a and 118b, and associated power switches 116a and 116b. On the front door of the storage enclosure 106, a number of indicator lights/icons 121-126 are provided. The example indicators include a temperature indicator 121, a fan indicator 122, a controller indicator 123, a driver  
20 indicator 124, a power supply indicator 125 and a general fault indicator 126. Of course, these indicators are merely exemplary in nature, and other indicators and icons can also be used to quickly provide a user an overview of the storage enclosure's operation.



In the embodiment shown, 20 hard disk drives are provided. By way of example, if 20 hard disk drives are provided, they may be 20 1-inch wide drives. If 12 hard disk drives are provided, each of the 12 hard disk drives may be 1.6 inches wide. These dimensions should only be considered as exemplary dimensions in view of the fact that drive sizes and capacity change by disk manufacturer and in view of technological advances in the drive arts. It should also be noted that the storage enclosure 106 can be configured in Pedestal form, Rack form or in a Stackable form.

In another embodiment, the storage enclosure 106 can also include Fibre Channel hubs, which enable the storage enclosures to provide high data transfer rates. As is well known, the data transfer rate of Fibre Channel cabling and interconnects can vary depending upon the desired data transfer rate (*e.g.*, 100 MB per second, 1000 MB per second, etc.).

In the following functional description of Figures 1C-29, examples of the graphical user interface (GUI) functional features of the storage administration system are disclosed, with emphasis on those features which facilitate the process of locating, configuring and monitoring storage resources. Of course, the exemplary GUI interfaces can be modified in terms of their appearance and look-and-feel, however, the underlying functional aspects disclosed in the present invention will remain substantially the same.

Reference is now drawn to Figure 1C, which provides a Desktop window graphical user interface (GUI) that a system administrator (*i.e.*, user) will see when administering storage enclosures over the enterprise network from a computer having the client component. Accordingly, when a user, such as a system administrator, launches a client component of the storage administration system, the first window that

opens is the Desktop window 150 of Figure 1C. The Desktop window 150 provides users immediate access to an overview of the functionality provided by the storage administration system. By way of example, the Desktop window 150 provides a user with quick and visually salient access to the basic functional tools and links. In this embodiment, the functional tools include an Array Modifier 152, an Enterprise Monitor 154, an Array Builder 156, and an Event Notifier 158. Also provided is a view of the network enterprise 102, a list of Unconfigured Hardware 162, and Templates 164 that can be used to quickly configure hardware.

The Desktop window 150 therefore provides a user with powerful functional shortcuts, like dropping an icon of a configuration template 164 on top of an icon of unconfigured hardware (*e.g.*, a storage enclosure 106). It also allows users to drag objects from an Enterprise window 200 (*e.g.*, as shown in Figures 12-15) to the Desktop window 150 to create shortcuts to those objects. For example, a user could drag a storage enclosure 106 from the Enterprise window 200 to the Desktop window 105 and a shortcut icon would be created on the Desktop window that points to the original storage enclosure 106. Double-clicking the shortcut icon for the storage enclosure 106 will open the enterprise window 200 with that item highlighted.

To illustrate the ease of use of the functional embodiments of the present invention, double-clicking the ArrayModifier 152 icon will open the ArrayModifier window 152a of Figure 10. Double-clicking on the EnterpriseMonitor 154 will open the EnterpriseMonitor window 154' of Figure 5. Double-clicking on the ArrayBuilder 156 will open the ArrayBuilder window 156a of Figure 26. Double-clicking the EventNotifier 158 will open the EventNotifier window 158a of Figure 21. Double-clicking the Enterprise icon 160 will open a window 200 with an overview of all the

objects in the enterprise. In Figure 12, a tree view 200c is illustrated. The window 200 can also be shown in a quick view 200d, which is illustrated in Figure 13. Double-clicking the 'unconfigured hardware' container 162 of Figure 1B will open a window that will have representations of all partially or fully unconfigured host systems, which are shown in Figure 2. As described with reference to Figure 1A, a host system is preferably a server computer system 104, which may have one or more storage enclosures 106 connected thereto. Double-clicking the templates container 164 will open a window that will show the user representations of all available templates as illustrated in Figure 3.

- 10- Right-clicking on any object (*e.g.*, a host, a drive, a storage container (*e.g.*, a subsystem), an array, or a controller) will show the context menu for that object with the appropriate menu items. For example, the "properties" menu item will open a corresponding window that will display the properties for the object that was right-clicked. These properties are respectively shown in Figures 16-20.
- 15 Referring again to Figure 2, the unconfigured hardware window 162' gives a user immediate access to a filtered list that preferably only has hardware that has not been fully configured (*i.e.*, storage enclosures 106 with unassigned hard drives), instead of requiring that the user always navigate through an entire tree to find the hardware of interest. In this example, two storage enclosures 162a and 162b are
- 20 shown to have unassigned hard drives. From this window, the user can also double-click a hardware representation (*i.e.*, a storage enclosure), and the storage enclosure will open the enterprise window 200 with the selected item highlighted in the tree.

Figure 3 provides a graphical representation of templates, which are used to quickly and easily configure hardware, in accordance with one embodiment of the

present invention. More specifically, a user is provided with immediate access to templates that can be used to quickly build a RAID array from the unconfigured hardware. In one embodiment of the present invention, a template is used to specify all parameters and settings that are required to build a RAID array, with the exception  
5 of selecting the actual disks to use in the array. A more detailed view of a RAID template is provided in Figure 4, which shows general information 170a about the template and associated features 170b.

Of course, the user can choose to specify all of these parameters and settings “manually,” but templates provide a faster and simpler way for users to build an array  
10 without being presented with all of these settings. The user can drag and drop a template on the icon of an unconfigured storage enclosure 106, drag and drop a storage enclosure icon on the template, apply the template to a storage enclosure from the context menu, or choose a template from the list of templates in the Array Builder window 156a of Figure 26. The user is then presented with a confirmation dialog that  
15 allows the user to select the drives to be used in the array, and then click Build Array 280 to finish as shown in Figure 28. Additionally, if the user double-clicks on the template representation (e.g., 164a-164c), the administration system will open the template window 170 of Figure 4.

In the template window 170 of Figure 4, a user is able to see the settings  
20 specified by a specific template for building an array. Note that users will have access to guidance information in each dialog by clicking Show Guidance Information 171. This will provide more information and explanations of the settings and terms used in that dialog.

Figure 5 illustrates an EnterpriseMonitor window 154', which gives users an immediate overview of the health of their entire enterprise network 102 (*i.e.*, in a summary format). This window is designed so that it will take up minimum real estate on a user's screen. By way of example, a system administrator is able work on his computer (having the client component) other non-administration tasks while the window 154' is moved to a free space of the screen. At the same time, however, the system administrator can be manually viewing the status of the enterprise (or arrays that the administrator is assigned to service). If a failure is reported via this window, the administrator can stop working on his current job and move to resolve the failure. Accordingly, the user can dismiss or minimize all other windows of the application and leave this monitor running in a corner of the screen.

In still another embodiment, if a failure occurs in the enterprise, a window 180 of Figure 6 will pop up informing the user/system administrator about the error. If the user clicks on the settings button, the window of Figure 8 will be displayed. Via the exemplary GUI of Figure 6, the user will be provided with immediate notification of when a failure occurs. To further assist the user, information necessary to address the failure (*e.g.*, what happened, where, and when) is provided in 180a and 180b. The user can click OK to dismiss the alert and then the Enterprise Monitor will display an LED and string indicating the number of failures that have been acknowledged, but haven't been addressed yet. For instance, Figure 7 illustrates an Enterprise Monitor 154', which provides a user with feedback that an acknowledged failure still exists.

With reference to Figure 8, a Monitoring Settings for Enterprise Monitor window 154a is provided. Through this window, a user is allowed to specify which variables to display and monitor in the Enterprise Monitor and any settings for those

variables. As shown, an Enterprise Monitor tab 154a and a custom monitor tab 154c are provided to enable the user to gain access to additional monitoring information. In the Enterprise Monitor tab 154a, for example, a failure indicator, a disk capacity indicator, an enclosure temperature indicator, a battery health indicator, and a power supply health indicator are shown. By customizing these monitors, the Enterprise Monitor 154' can be tailored to the needs of the responsibilities of each system administrator.

In Figure 9, the customize window 154c is shown having a plurality of exemplary settings for building custom monitor window. For example, this control allow users to create a custom monitor window for any object in the enterprise network 102 (e.g., a storage enclosure). This also allows users to specify which variables to display and monitor in the custom monitor window and any settings for those variables.

Figure 10 shows an ArrayModifier window 152a, which allows users to add capacity to an array online and to tweak drive cache parameters of an array. By clicking the "Add Disk to Array" button, the software will display a progress window.

Figure 11 shows another view of the ArrayModifier window 152a, having the tweak parameters tab 152c selected. By clicking the "Revert" button, the software will set the parameters in this window back to the state when the window was opened last. Further, by clicking "Apply Parameters to Array" will dismiss this window and bring up a progress window.

Figure 12 shows an exemplary Enterprise window 200, having tabs 200a and 200b for selecting either physical devices or logical devices. Via this window 200, the

user is given an overview of the physical objects in the enterprise (*e.g.*, hosts, storage enclosures, controllers, hard drives), their containment, etc. At this point, the user can access the functionality, like Build Array, using a right click menu. If the user double-clicks an object, a window that shows the object's properties, *e.g.*, as shown in Figure 16, will be displayed. If the user right-clicks an object, a context sensitive menu for the object will also be displayed. If the user clicks on the logical devices tab 200b, the system will open the window of Figure 14. If the user clicks on the quick view button 200d, the window of Figure 13 will be displayed.

Figure 13 therefore represents a quick view of the enterprise 200. Broadly speaking, this view provides a better overview of all the objects in the network enterprise 102 and allows the user to navigate faster through a large hierarchy of items. For instance, the user can see more hosts (*i.e.*, server computers 104) at one time in the list and quickly navigate the hierarchy to see the storage enclosures 106, controllers, and drives. The user may select a host from the list of hosts and then will see a list of all of the storage enclosures attached to that host. The user can then select a storage enclosure (*i.e.*, subsystem) from the list and then see a list of the controllers in that storage enclosure (*e.g.*, a single controller, or two controllers in a dual controller hardware configuration). The user is then able to select a controller and see a list of the drives that it controls.

Double-clicking an item in any of the columns will cause the contents of the item to be displayed. Right-clicking on an object will cause a context sensitive menu for the object to be displayed. Through Figure 14, a user is allowed to see logical devices in the enterprise (*e.g.*, Arrays).

Figure 15 provides a quick view of the logical devices of the enterprise, in accordance with one embodiment of the present invention. For example, this view allows a user to see the logical devices in the enterprise. It provides a better overview of all the objects in the enterprise and allows the user to navigate faster through a large hierarchy of items. Further, the user can see more hosts at one time in the list and quickly navigate the hierarchy to see the subsystems (i.e., storage enclosures 106), controllers, and arrays. The user can select a host from the list of hosts and will then see a list of all of the subsystems attached to that host. The user then selects a subsystem from the list of subsystems and will see a list of the controllers in that subsystem (*e.g.*, a single controller, or two controllers in a dual controller hardware configuration). The user then selects a controller and will see a list of the arrays that it controls.

Figure 16 provides a host properties window 202. In this example, the host is Waterloo. This host has its properties, such as, vendor, protocol type, address, and operating system clearly displayed for the user to see. Figure 17 is a subsystem properties window 204, which identifies an exemplary storage enclosure and the host it is connected to. Figure 18 shows a controller properties window 206. In this example, the controller card is an Adaptec, Inc. RAID controller Card that is connected via a SCSI interface. Figure 19 shows a drive properties window 208. All of the important drive properties are provided so that the user/administrator can quickly identify the drive characteristics. If the drive were to fail, the administrator who may have been monitoring the enterprise from a remote location, can quickly ascertain a drive type in case of failure. By having this power, the enterprise administrator can quickly obtain an identical replacement drive and install the drive without having to go and inspect



the drive at the physical location, which may be remotely located (*e.g.*, at another building). Finally, Figure 20 shows an array properties window 210, which shows the RAID level, the vendor, the drives, the capacity, the free space, and the status.

Figure 21 shows an even notification window 158a, in accordance with one embodiment of the present invention. In this embodiment, the user is allowed to see and specify the event notification settings for a specific host object. If the user clicks the host pop-up menu at the top of the window, the user can select any host (*e.g.*, a computer having the server component) in the enterprise in order to see and specify its notification settings. The user can select the events for which the user wants notifications sent for a particular host. Events can be collapsed into two groups (Fatal Events and Critical Events) and then notification can be quickly specified for those events as a whole. Otherwise, the groups can be disclosed and display all of the events in the group, which enables the user to specify notification separately for each individual event.

If the user clicks the “Edit Users” button a window is opened that lists the users as shown in Figure 22. If the user double-clicks an event or clicks Specify Event Notification, a window is opened that allows the user to specify the notification settings for that event, such as who gets notified and how, as illustrated in Figure 25.

Figure 22 shows the users window 250, which allows viewing and modification of the users list. Thus, existing accounts can be viewed and edited in a simplistic manner through window 250. If the user clicks the New User button, a window is opened that allows the user to specify a new user account and its notification settings as shown in Figure 23. If the user double-clicks a user or clicks the Edit User button, a window is opened that allows the user to edit the selected user’s

account information as shown in Figure 24. If the user clicks the Delete User button, the selected user is removed from the list. In a preferred embodiment, the user is only deleted after confirming the deletion. The OK button accepts changes and closes the window. The Cancel button closes the window without saving changes.

5           Figure 23 shows a notification settings window 252 for a new user. As shown, a user is allowed to create a new user account and the notification methods established (*e.g.*, pager number, email addresses, etc.). Figure 24 illustrates a notification settings window 254 with the settings for a user, Bob Lewis. Through this window, a user is allowed to see and edit the notification methods for a user account.

10           Figure 25 illustrates an Event notification window 256 for a controller failure, in accordance with one embodiment of the present invention. In one embodiment, this window allows a user to see and specify the event notification settings for a specific event (*e.g.*, controller card failures). The entire list of users is displayed and the user can select which users to notify for the event (*e.g.*, Bob Lewis) and how they should be  
15           notified (*e.g.*, by Pager 1).

Figure 26 shows an ArrayBuilder window 156a. Through this window, a user is allowed to build an array for the selected subsystem by using a template. The top container in the window provides the user with a list of unconfigured subsystems. The bottom container lists available templates. By clicking Select Drives, the user is  
20           allowed to specify the drives to be used for the array as shown in Figures 28 and 29.

Figure 27 illustrates an ArrayBuilder window 156a, through which a more experienced user can build an array from scratch. By way of example, a user is allowed to build an array for the selected subsystem “manually” by specifying each

parameter. The window also shows a build-from template tab 156b and a build from scratch tab 156c. The top container in the window provides the user with a list of unconfigured subsystems. By clicking Select Drives, a user is allowed to specify the drives to be used for the array as shown in Figures 28 and 29.

5           Figure 28 shows a select drives window 280, which allows a user to review the array specification and select specific drives before building the array. By clicking Build Array, the user can initiate the build process and can open a modeless progress window. Clicking Show Details reveals more array specification parameters as shown in Figure 29.

10           Figure 29 shows a select drives window 280', with details 181 shown. In this embodiment, the users are allowed to review the array specification and select specific drives before building the array. Clicking Build Array initiates the build process and opens a modeless progress window. Clicking Hide Details hides the detailed array specification parameters as shown in Figure 28.

15           The invention employs various computer-implemented operations involving data stored in computer systems to drive software applications and hardware devices. These operations are those requiring physical manipulation of physical quantities. Usually, though not necessarily, these quantities take the form of electrical or magnetic signals capable of being stored, transferred, combined, compared, and otherwise manipulated.

20           Further, the manipulations performed are often referred to in terms, such as producing, identifying, determining, or comparing.

Any of the operations described herein that form part of the invention are useful machine operations. The invention also relates to a device or an apparatus for

performing these operations. The apparatus may be specially constructed for the required purposes, or it may be a general purpose computer selectively activated or configured by a computer program stored in the computer. In particular, various general purpose machines may be used with computer programs written in accordance with the teachings herein, or it may be more convenient to construct a more specialized apparatus to perform the required operations.

Although the foregoing invention has been described in some detail for purposes of clarity of understanding, it will be apparent that certain changes and modifications may be practiced within the scope of the appended claims. Accordingly, the present embodiments are to be considered as illustrative and not restrictive, and the invention is not to be limited to the details given herein, but may be modified within the scope and equivalents of the appended claims.

*What is claimed is:*

## Claims

1. A storage area network management and configuration system, comprising:

an enterprise network including a plurality of computer systems, wherein some of  
5 the plurality of computer systems include a server component, some of the plurality of computer systems include a client component, or both the client component and the server component;

a storage enclosure being connected to a computer system having at least the  
server component; and

10 a graphical user interface provided by the client component, the graphical user interface provides a graphical representation and icon links to configuration tools for controlling the storage enclosure.

2. A storage area network management and configuration system as recited  
15 in claim 1, wherein the graphical representation and icon links to configuration tools comprise:

an array modifier link for selecting a RAID array that is desired to be modified in terms of adjusting a drive selection and RAID level.

20 3. A storage area network management and configuration system as recited in claim 1, wherein the graphical representation and icon links to configuration tools comprise:

an array builder link, the array builder link when selected provides selection tabs to allow array building from an array template or from scratch.

4. A storage area network management and configuration system as recited  
5 in claim 3, wherein the array building from an array template, comprises:

selecting hardware to configure, the hardware to configure is selected from the storage enclosure or from additional storage enclosures that are connected to the enterprise network;

selecting an array template that contains a RAID configuration scheme that is  
10 optimally selected for a particular storage application; and

dragging the selected array template, that is in the form of an icon, over the selected hardware or dragging the selected hardware over the selected array template, the dragging is configured to automatically apply the RAID configuration scheme.

15 5. A storage area network management and configuration system as recited in claim 4, wherein the RAID configuration scheme includes,

a RAID level;

a number of drives in the selected hardware;

a number of spare drives;

20 a stripe size; and

an array address.

6. A storage area network management and configuration system as recited in claim 1, wherein the graphical representation and icon links to configuration tools comprise:

an enterprise monitor link, when selected the monitor link provides a window  
5 wherein monitoring settings can be set.

7. A storage area network management and configuration system as recited in claim 1, wherein the monitoring settings includes,

a failure indicator; and

10 a disk capacity indicator.

8. A storage area network management and configuration system as recited in claim 7, wherein the monitoring settings further include,

a temperature indicator for the storage enclosure;

15 a battery health indicator; and

a power supply health indicator.

9. A storage area network management and configuration system as recited in claim 6, further comprising:

20 an enterprise monitor window for providing a quick view of selected storage enclosure parameters.

10. A storage area network management and configuration system as recited in claim 1, wherein the graphical representation and icon links to configuration tools comprise:

an event notifier link, when selected provides customizable failure and status notifications.

11. A storage area network management and configuration system as recited in claim 10, wherein the customizable failure and status notifications include, setting user notification profiles, the profiles include communication information.

12. A storage area network management and configuration system as recited in claim 11, wherein the communication information includes e-mail information and pager information.

13. A storage area network management and configuration system as recited in claim 1, wherein the graphical representation and icon links to configuration tools comprise:

an enterprise icon, when selected allows viewing of the enterprise network that includes the plurality of computer systems and associated storage enclosures that are connected to computer systems having the server component.



14. A storage area network management and configuration system as recited in claim 13, wherein the viewing of the enterprise network can be of physical devices or logical devices, and the physical devices and the logical devices can be displayed in one of a tree view and a quick view.

5

15. A storage area network management and configuration system as recited in claim 14, further comprising:

a graphical failure representation is provided of selected drives of the storage enclosure, the graphical failure representation being configured to be displayed on a failed drive when the failed drive is in a viewable setting and on the storage enclosure when the failed drive is not in the viewable setting.

16. A storage area network management and configuration system as recited in claim 1, wherein the client component provides a user administrator the management and configuration control to the enterprise network.

17. A storage area network management and configuration system as recited in claim 1, wherein the enterprise network can include a plurality of storage enclosures that are connected to selected computer systems that are part of the enterprise network and that have the server component.

18. A storage area network system, comprising:

a server computer system being connected to an enterprise network;

a storage enclosure being connected to the server computer system;

a client computer system having a graphical user interface control for enabling a user to remotely configure drives of the storage enclosure.

5

19. A storage area network system as recited in claim 18, wherein the storage enclosure includes an array of drives.

20. A storage area network system as recited in claim 18, wherein the graphical user interface control includes one or more of an array modifier icon link, an enterprise monitor icon link, an array builder icon link, an event notifier icon link, an unconfigured hardware icon link, a templates icon link, and an enterprise icon link.

21. A storage area network system, comprising:  
a server computer system being connected to an enterprise network;  
a storage enclosure being connected to the server computer system;  
a client computer system having a graphical user interface control for enabling a user to remotely configure drives of the storage enclosure;

wherein the graphical user interface control includes one or more of an array modifier icon link, an enterprise monitor icon link, an array builder icon link, an event notifier icon link, an unconfigured hardware icon link, a templates icon link, and an enterprise icon link.

22. A standalone storage enclosure system for use in a storage area network solution, the system consisting of:

- first and second RAID controllers;
- 5 first and second power supplies;
- independent AC power connectors;
- first and second fans;
- a temperature sensor; and
- an array of hard disk drives capable of being configured for a particular RAID-
- 10 based application.

23. A standalone storage enclosure system for use in a storage area network solution as recited in claim 22, wherein the first and second RAID controllers are hot plug controllers.

15

24. A standalone storage enclosure system for use in a storage area network solution as recited in claim 22, wherein the array of hard disk drives includes 12 drives or 20 drives having a width of 1.6 inches and 1 inch, respectively.

# STORAGE AREA NETWORK ADMINISTRATION

## ABSTRACT OF THE DISCLOSURE

5           A storage area network management and configuration system is provided. The system includes an enterprise network that has a plurality of computer systems, and some of the plurality of computer systems include a server component, some include a client component, and some include both the client component and the server component. The system also includes a storage enclosure that is connected to a computer system having at  
10   least the server component. A graphical user interface window is provided by the client component, and the graphical user interface provides a graphical representation and icon links to configuration tools for controlling the drives and arrays of the storage enclosure. In one aspect, the enterprise network can include a plurality of storage enclosures that are connected to selected computer systems that are part of the enterprise network and that  
15   have the server component. Through the configuration tools, any one of the drives in the storage enclosures can be configured into a particular RAID-based array, monitored for failures, and modified to meet different storage needs. The modification can, in one aspect, be performed by simply dragging configuration template icons over selected hardware storage devices.

20

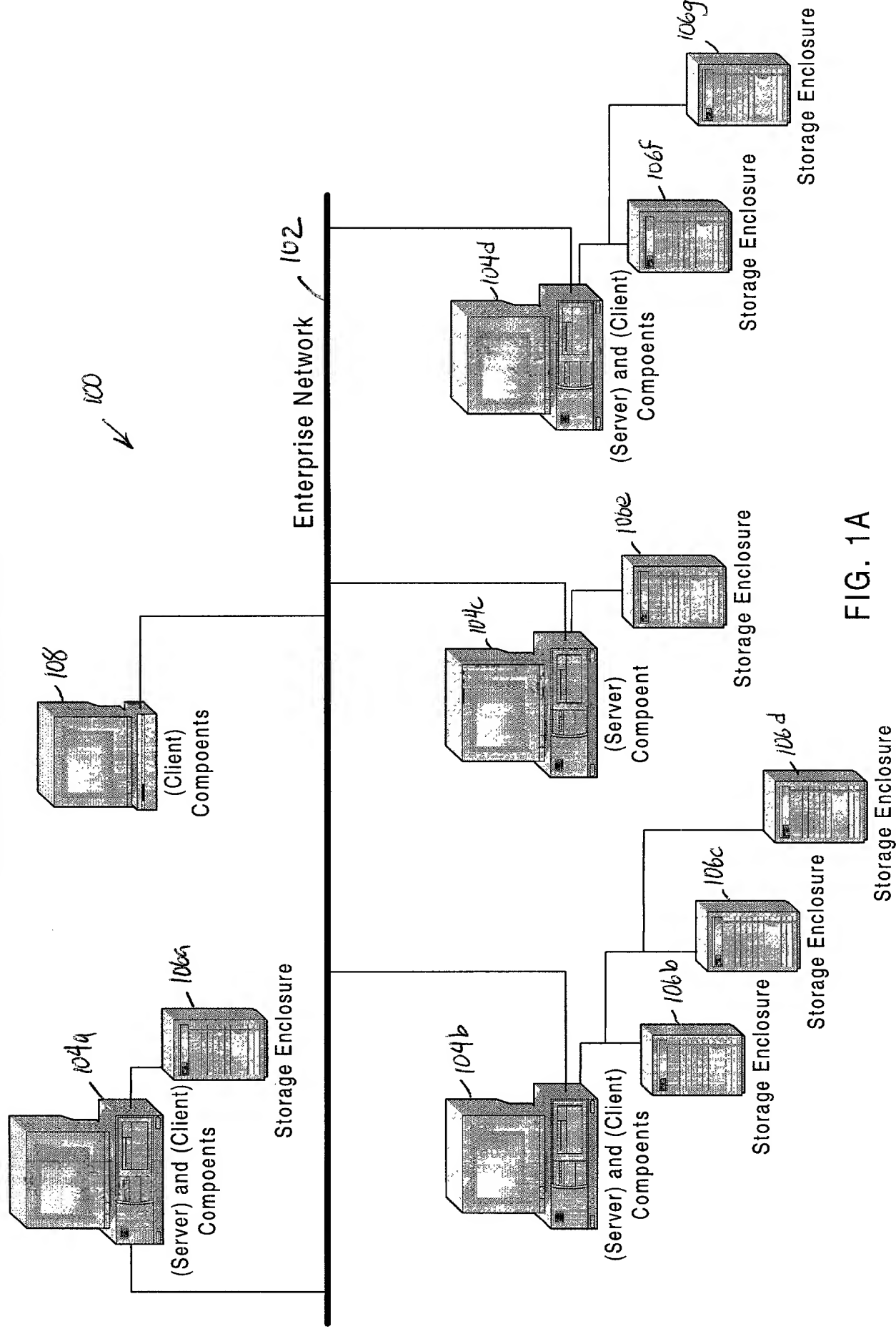


FIG. 1A

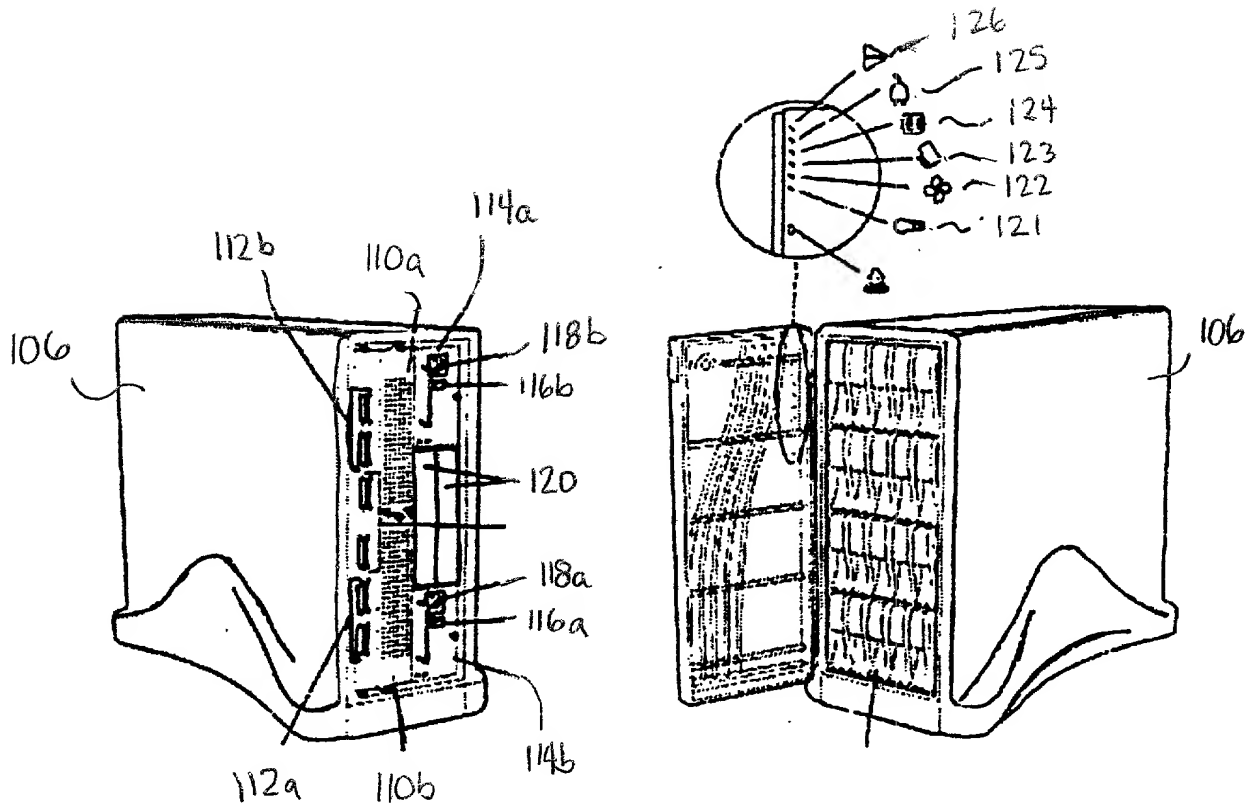


FIG. 1B

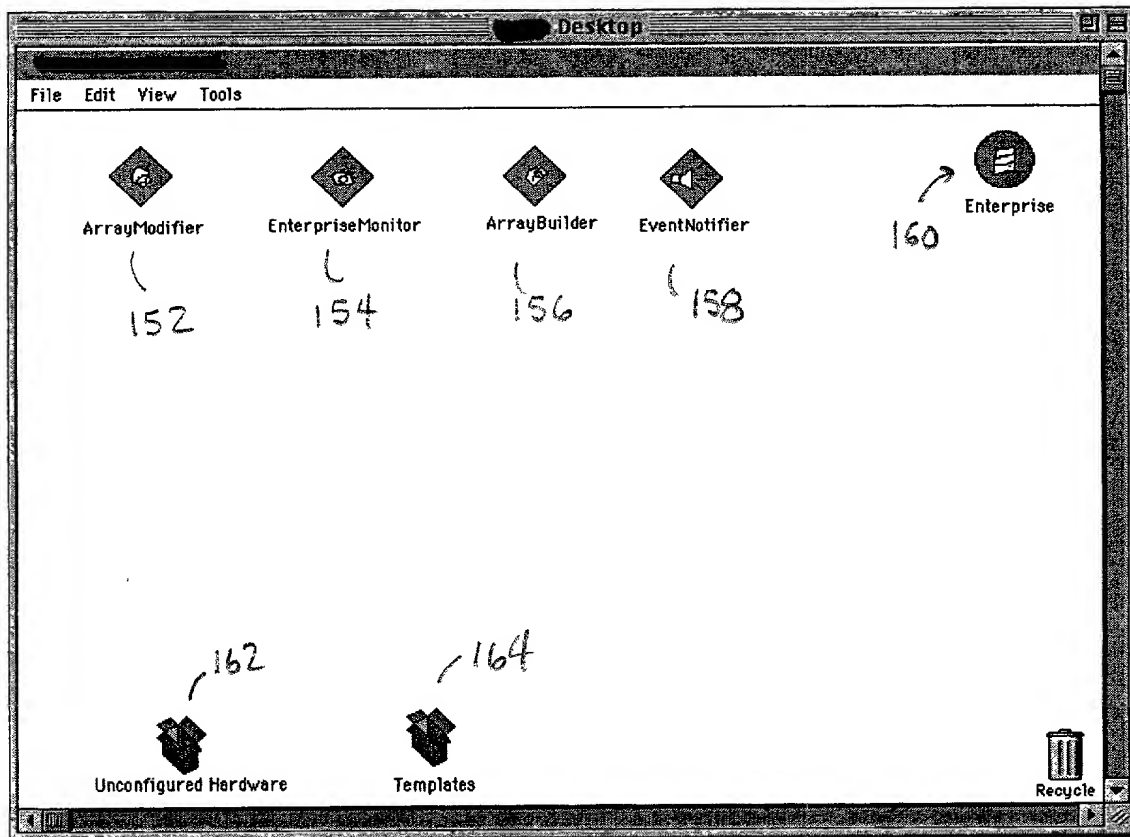


FIG. 1C

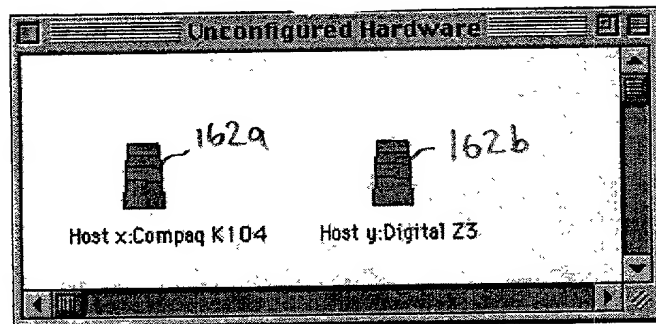


FIG. 2

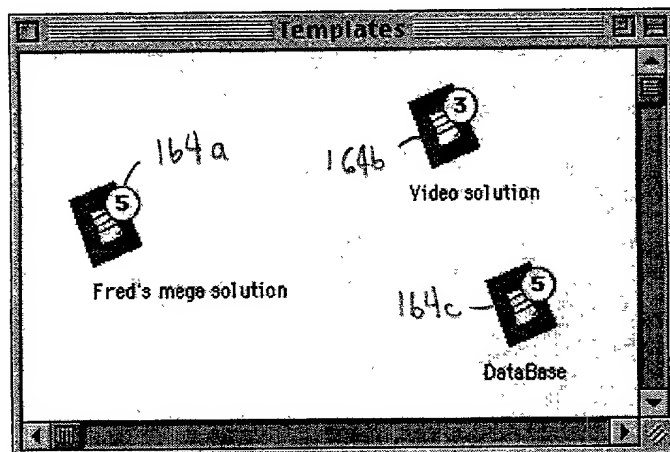


FIG. 3





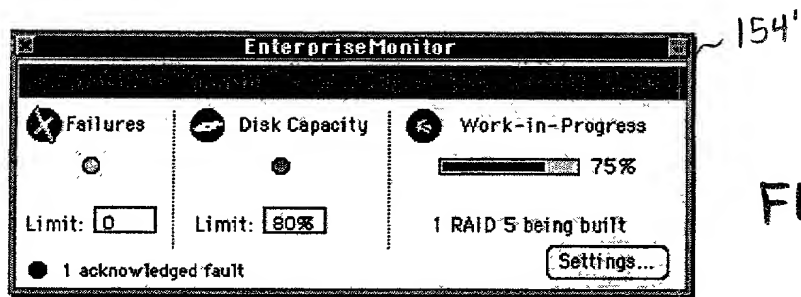


FIG. 7

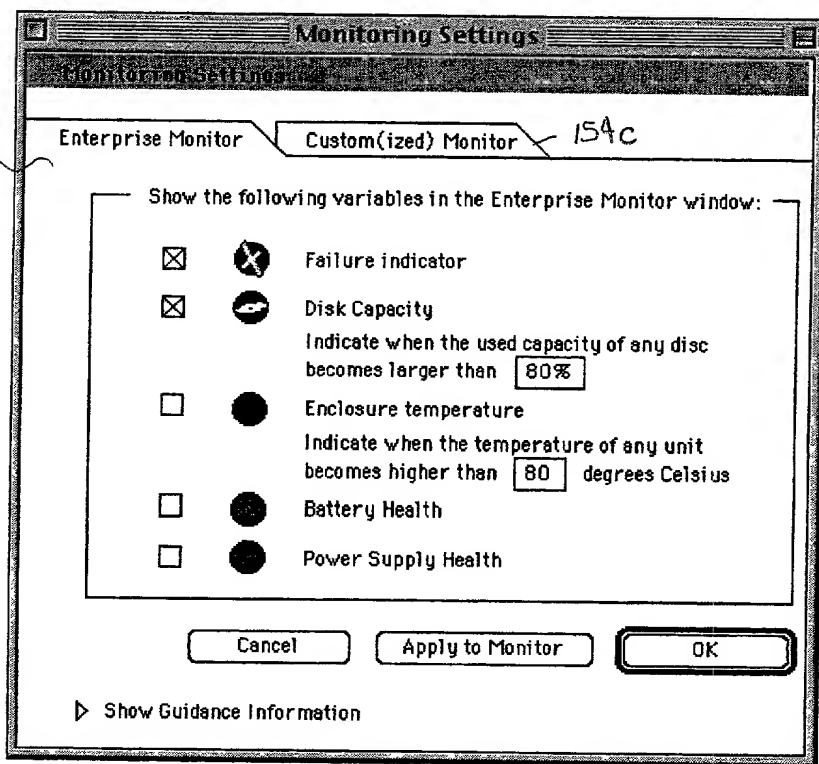


FIG. 8



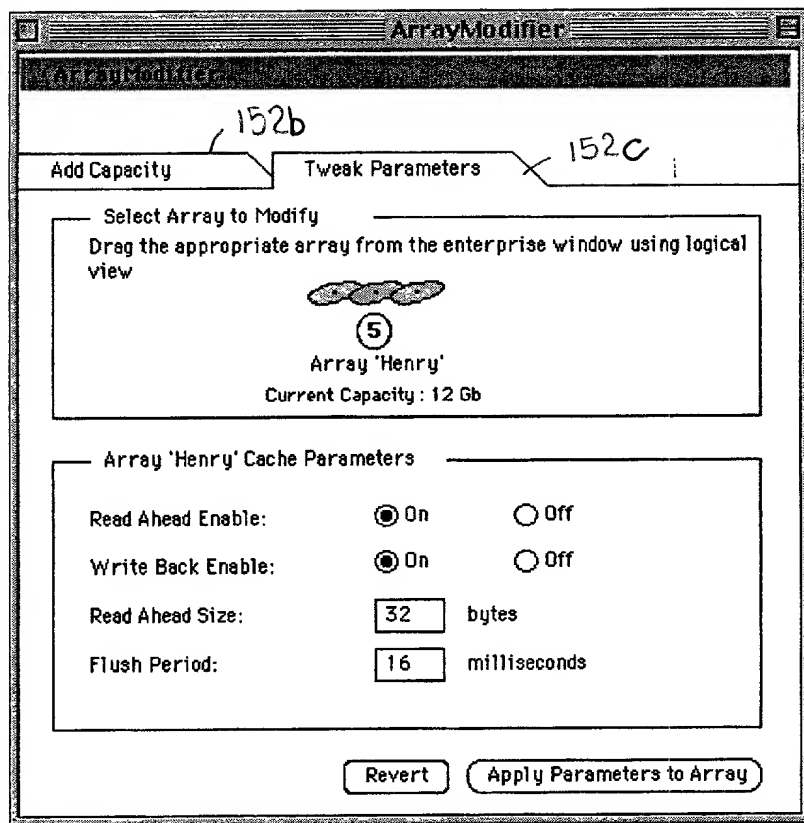


FIG. 11

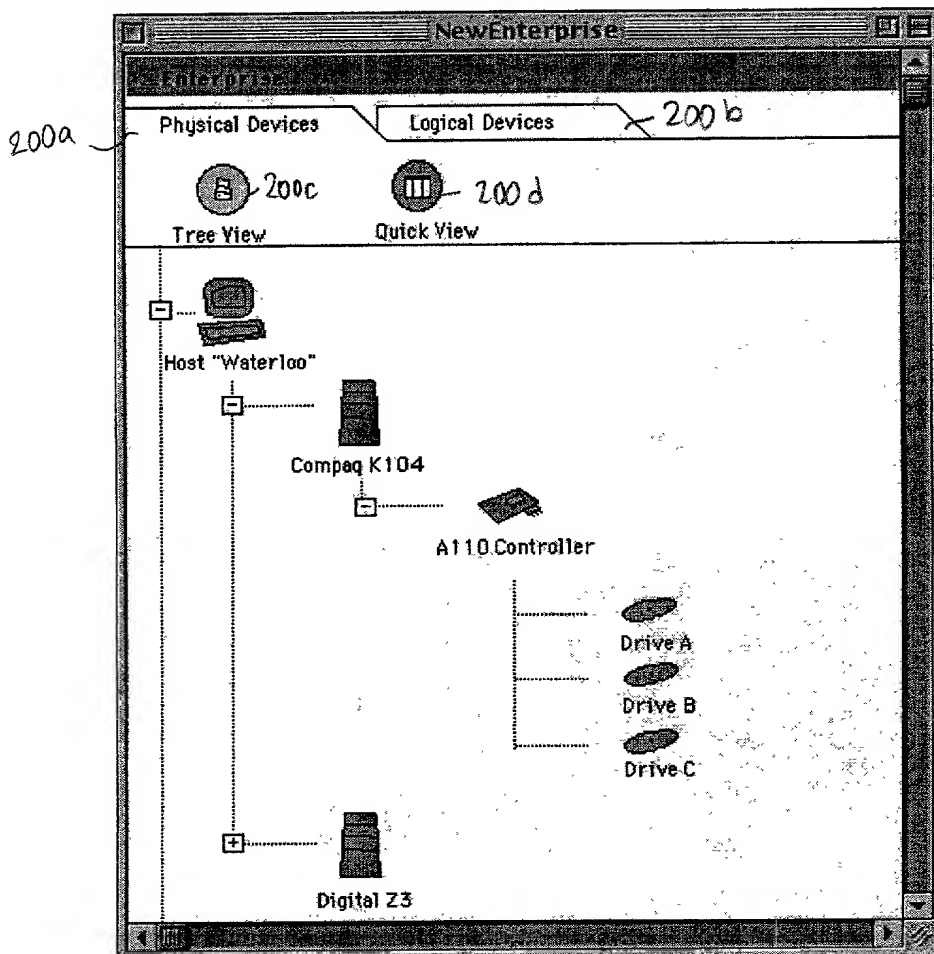


FIG. 12

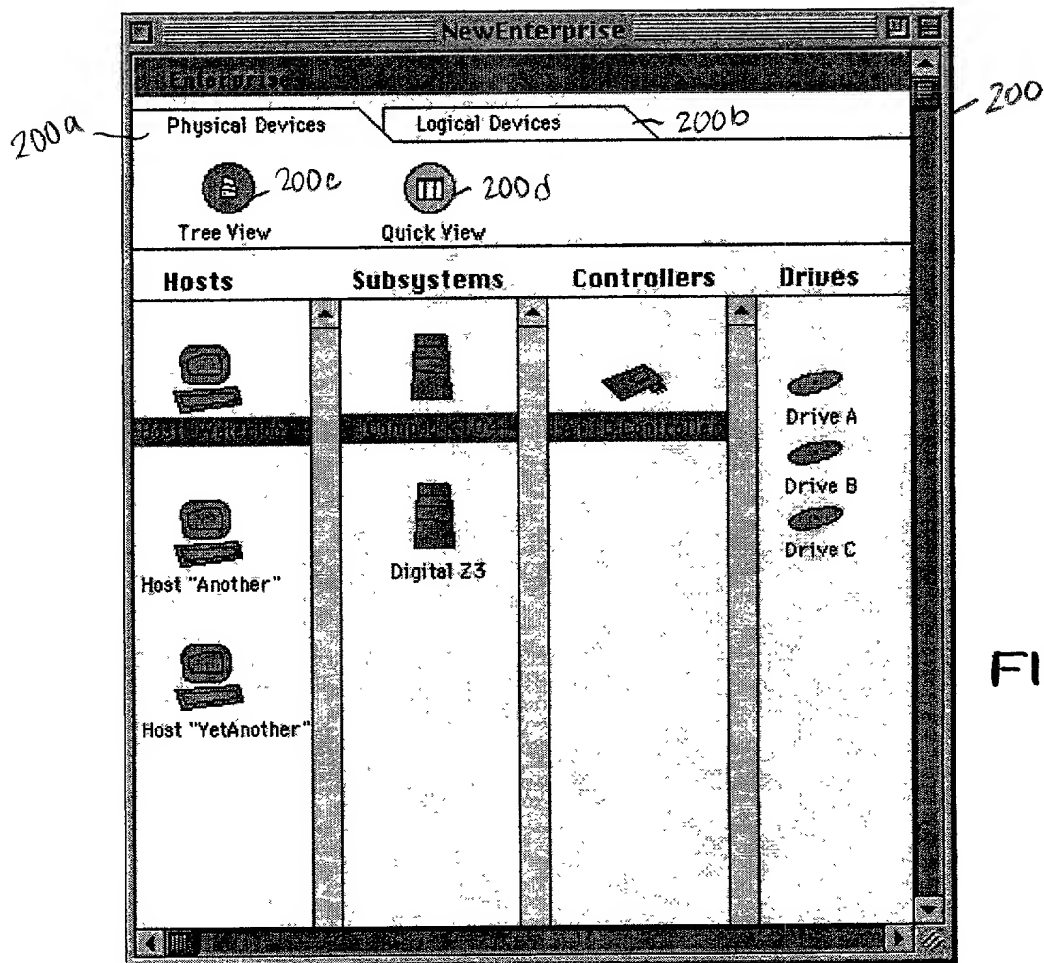


FIG. 13

FIG. 14

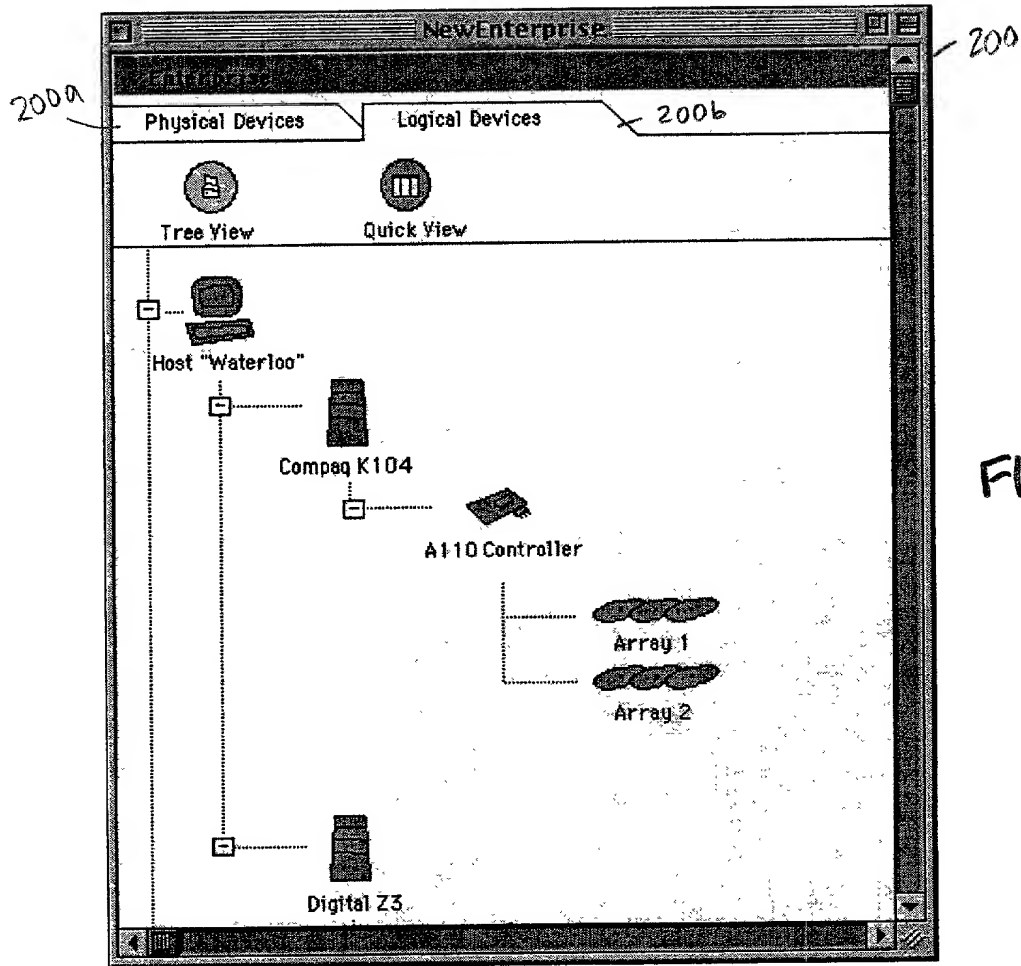


FIG. 14

Patented 2/25/2000

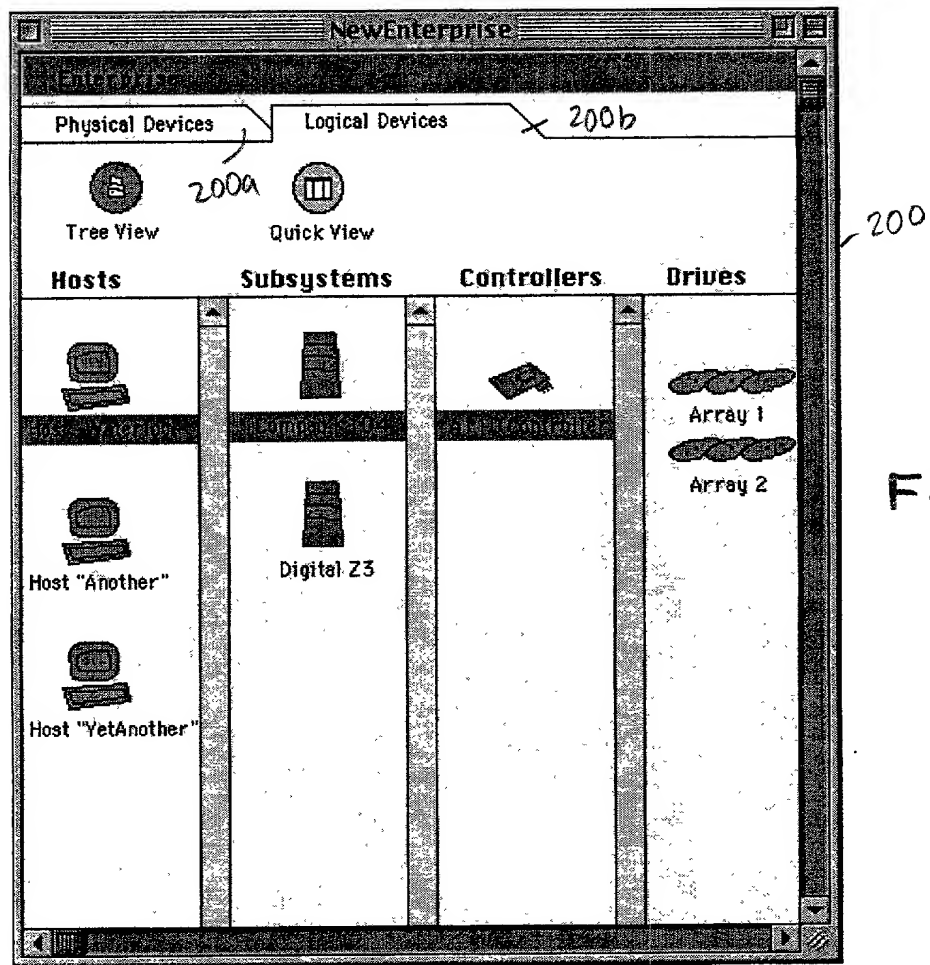


FIG. 15

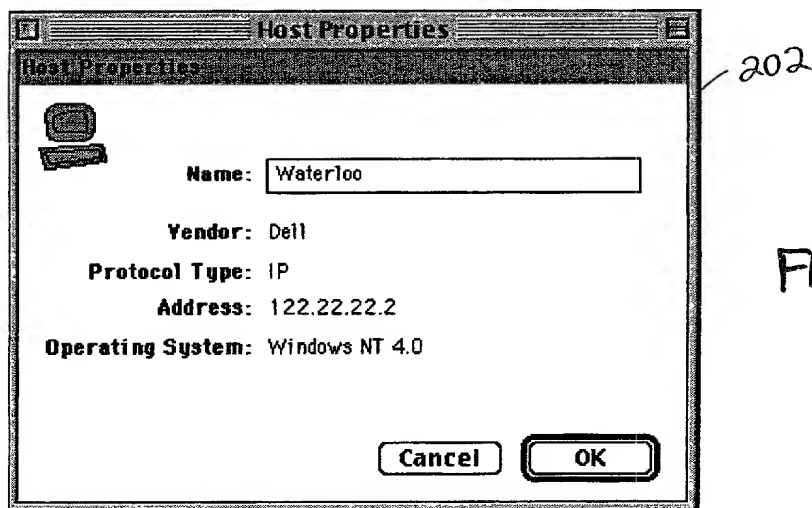


FIG. 16



607620 2/29/2000

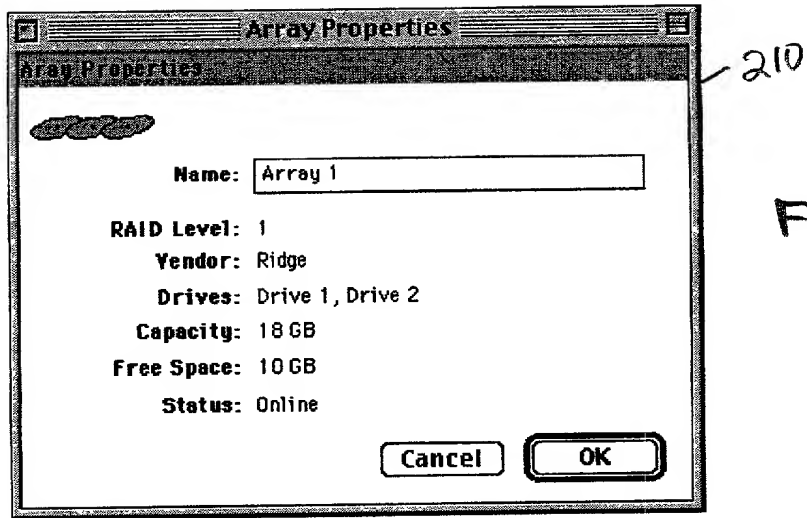


FIG. 20

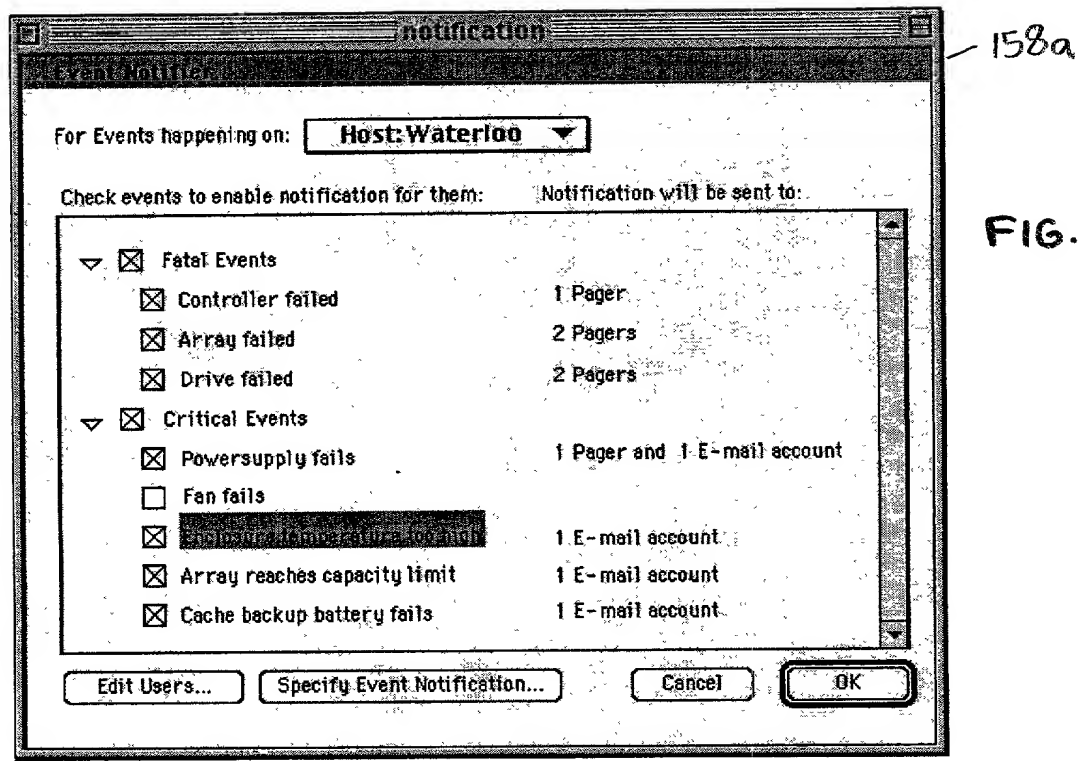
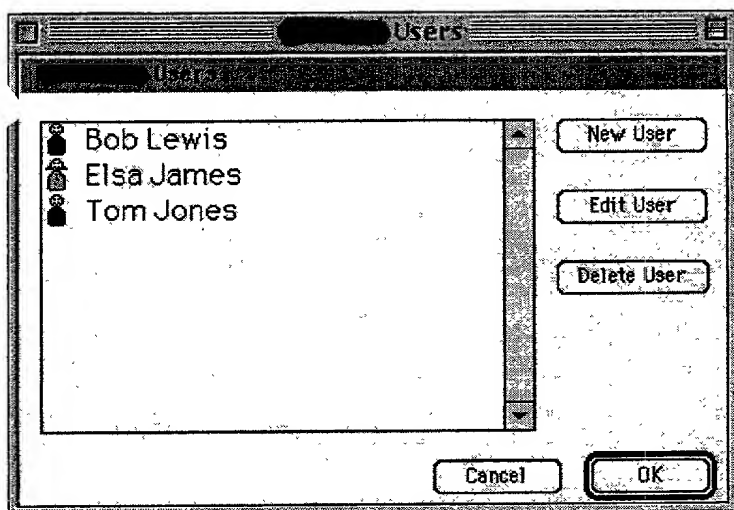


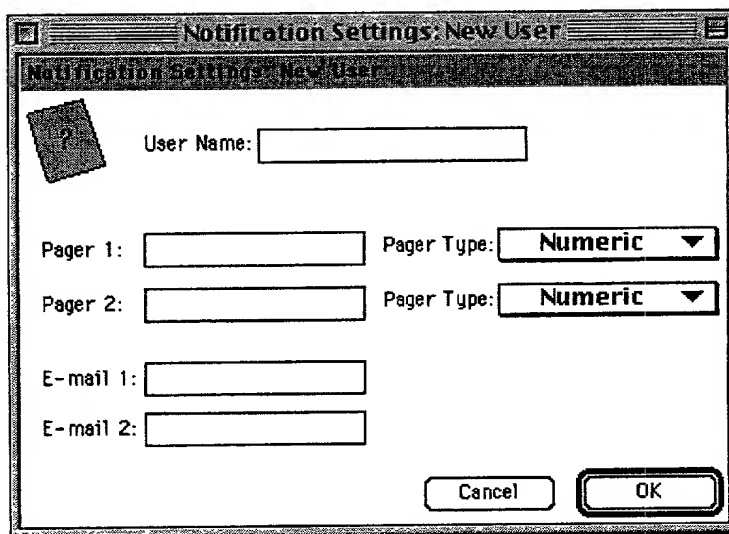
FIG. 21





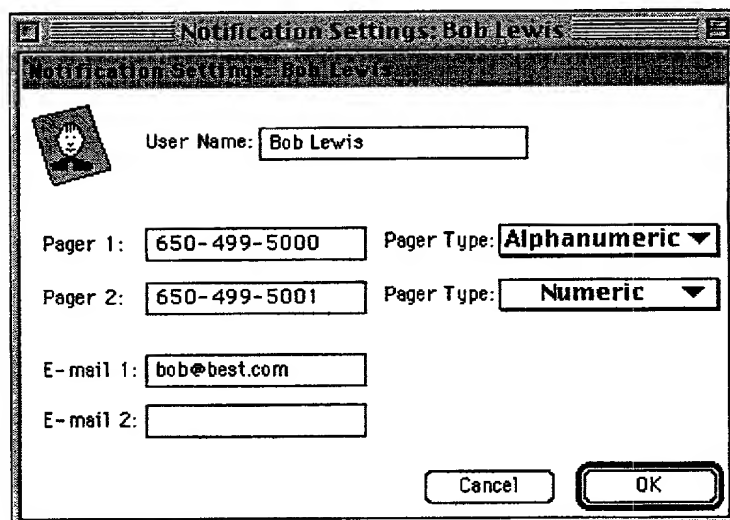
250

FIG. 22



252

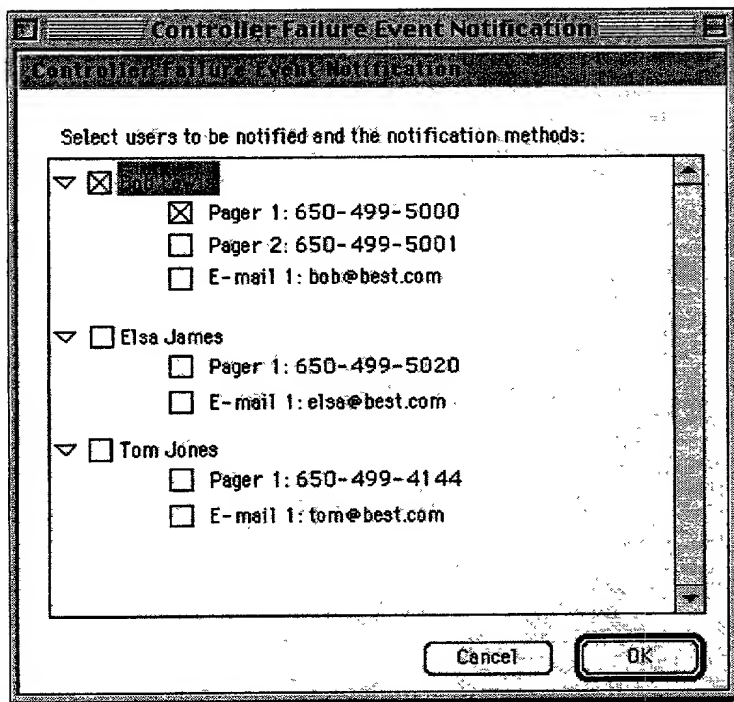
FIG. 23



254

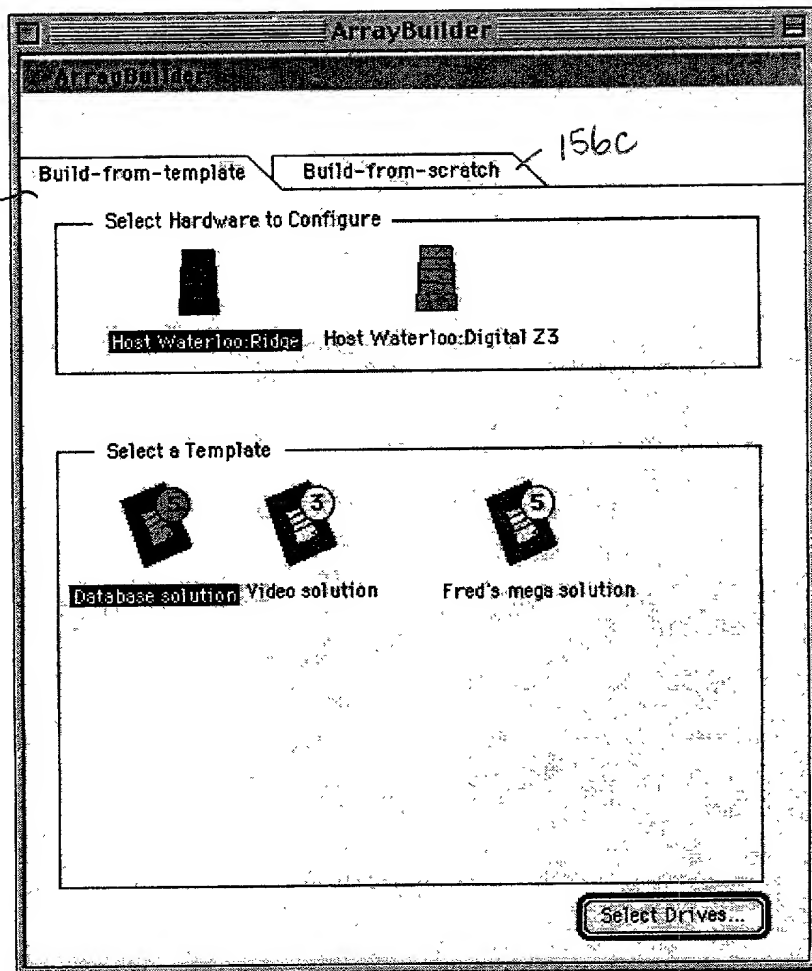
FIG. 24

667420" 2292260



256

FIG. 25



156b

156c

156a

FIG. 26

ArrayBuilder

Build-from-template 156b Build-from-scratch 156c 156a

Select Hardware to Configure

Host Waterloo:Ridge Host Waterloo:Digital Z3

Select Configuration Parameters

Raid Level: 5

Number of Drives: 3

Number of Spares: 1 dedicated spare

Stripe Size: 16 K bytes

Array Address: 1 (LUN)

Build Priority: Minimum Maximum

Low level format: On Off

Save as a Template...

Select Drives...

FIG. 27

Select Drives

Array Name: Array 1

Raid Level: 5

Typical Application: Storing lots of data that requires high throughput

Number of Drives: 10

Number of Spares: 1 dedicated spare

Host Waterloo:Ridge

Array Capacity: 27 GB

Unassign Drive Use as Spare Add to Array

Cancel Build Array

Show Details 281

FIG. 28

